

JOB SPECIFICATION

INVESTMENT MANAGEMENT AND FUNDS SOLICITOR

Department and Role:	<p>The London Investment Management and Funds team is part of a globally interconnected group that is one of the largest and most experienced Investment Management groups internationally. More than 150 lawyers in offices in the United States, Europe, Asia and Australia focus their time on providing legal services to the investment management and professional investor communities. With an exceptional depth of knowledge and experience, our lawyers lead the way in assisting clients with their investment management strategies.</p> <p>As part of our group, you will be exposed to a variety of matters and clients. Our clients represent a cross-section of the investment management industry, including investment managers, brokers, custodians, fund service providers and operating platforms. We also act for investment vehicles (open- and closed-end funds) such as UCITS, hedge funds, private funds, exchange-traded funds (ETFs), and segregated institutional portfolios.</p> <p>Typical projects will include advising on relevant financial services regulations in the UK and the EU, assisting clients to make investments in various markets around the world including establishing regulated and unregulated funds and advising on fund-raising options and initiatives, including the use of financial technology to create new platforms for the trading and marketing of investments. We also regularly serve as counsel to industry associations on a variety of projects, including legislative and policy matters, and are actively involved in industry developments. We increasingly see ourselves as international legal advisers and the majority of our work involves multiple jurisdictions and working with members of our global team.</p> <p>We are looking for a lawyer with a minimum of 2 years experience within Investment Management and Financial Services. The vacancy is for an energetic and dynamic lawyer keen to enhance experience working as a key part of our investment management global platform and cross-broader capability. We are looking for someone with a practical and proactive approach in addition to demonstrating strong problem solving skills and working well in a team. The lawyer would enjoy working across multiple jurisdictions and relish client exposure. Experience of advising asset managers in previous roles is essential.</p> <p>Ideal candidates will have some background, and/or interest, in a range of areas regulated by the FCA and PRA including asset management, broking, derivatives trading, payment services, banking, e-money, fintech/crowdfunding, consumer financial services, insurance mediation, money laundering etc.</p> <p>Of these the asset management-related regulatory experience/ interest will be the most valuable - e.g. AIFMD, UCITS, MiFID, PRIIPs. Other financial services-related or corporate experience which is not pure regulatory will also be of value, especially any funds establishment and documentation experience</p> <p>You will need to be strong technically and have proven attention to detail as well as organisational ability. You should feel comfortable with interacting</p>
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	<p>directly with clients, colleagues and other parties and we place high value on client service and working as a team. We encourage every member of the team to develop client relationships and to assist with the development of the investment management group. As part of this, you will have your own matters to manage alongside other members of our team.</p>
Qualities:	<p>Confident, client facing and prepared to participate fully in the business development and marketing activities of the group.</p> <p>You should be organised, responsive and able to work effectively in situations of high pressure.</p> <p>Committed to high client care and service delivery standards.</p> <p>Training at a City firm preferable.</p>